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PATENT

Attorney Docket No.: PD- 970636A

Customer No.: 020991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DOUGLAS M. DILLON, ET AL.

Appln. No.: 10/010,521

Filed: December 6, 2001

For: METHO

METHOD AND APPARATUS FOR SELECTIVELY ALLOCATING AND

ENFORCING BANDWIDTH USAGE REQUIREMENTS ON NETWORK

USERS

Examiner: W. Benson

Group Art Unit: 2153

RECEIVED

AUG 2 1 2002

Technology Center 2100

Commissioner for Patents Washington, DC 20231

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 CFR 1.56 and in accordance with the practice under 37 CFR 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. The documents were cited in parent Application No. 09/233,343 filed January 19, 1999 (the "343 Application"). Copies of the listed documents should be available in the '343 Application under 37 CFR 1.98(d).

FORMAL MATTERS

Any required fee should be charged to Deposit Account No. 50-0383. A duplicate of this paper is enclosed.

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REMARKS

The U.S. Patent No. 6,038,216 (<u>Packer</u>) corresponds to WO 98/20511 which was cited in the Second Information Disclosure Statement.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached at (301) 428-7172. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

John T. Whelan

Attorney for Applicants Registration No. 32,448

HUGHES ELECTRONICS CORPORATION Bldg. 001, M/S-A109 P.O. Box 956 El Segundo, CA 90245-0956 (301) 428-7172

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on <u>August 13, 2002</u>.

Ginger Fogle

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FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE		AUS , 9 MG	ATTY DOCKET NO. PD-970636A	APPLICATION NO	APPLICATION NO. 10/010,521		
F	PATENT AND TRADEMARK OFF	TICE	APPLICANT		10/010,32		
LIST OF	F REFERENCES CITED BY APP (Use several sheets if necessar	LICANTIS POFUATA	DOUGLAS M. DILLON, ET AL.				
Submitted:			FILING DATE		GROUP -		
			D cemb r 6, 2001		2153		
*EXAMINER	DOGUNENT	1	U.S. PATENT DOCUMENTS	T	1		
INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,411,616 B1	6/25/02	Donahue, et al.	370	352		
	6,249,530 B1	6/19/01	Blanco, et al.	370	468		
	6,292,465 B1	9/18/01	Vaid, et al.	370	230		
	6,154,776	11/28/00	Martin	709	226		
	6,038,216	3/14/00	Packer	370	231		
	6,160,797	12/12/00	Robert, III, et al.	370	316		
	6,222,856 B1	4/24/01	Krishnan, et al.	370	468		
		FOR	EIGN PATENT DOCUMENTS,				
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
				RECEIV	ED		
				AUG 2 1 2	AUG 2 1 2002		
				Technology Cen	er 2100		
	ОТ	HER DOCUMENT(S)	(Including Author, Title, Date, Pe	rtinent Pages, Etc.)			
	"NetGuard Unveils NetGuardian 2.0 for Win Nt, OS/2 Users 01/06/97", Dennis, Sylvia, Newsbytes, Jan. 6, 1997.						
	"Guardian offers a sophisticated, PC-based firewall", InfoWorld, July 29, 1996.						
	"NetGuard ships Guardian version 2.0; Enhabced Firewall product provides unique r al time Internet management functionality and customizable report information", Business Wire, Oct. 16, 1996.						
	"Fall Internet World '96 Exhibit Previews Part Three of Five", <u>Business Wire</u> , December 3, 1966.						
EXAMINER			DATE CONSIDERED				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copform with next communication to applicant.

DSG/IIp

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Sheet 1 of